

Practitioner's Docket No.: 939\_026

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Sugio MIYAZAWA and Michio ASAI

Ser. No.: 09/857,540

Art Unit: 2875

Filed: July 23, 2001

Examiner: Dalei Dong

Confirmation No.: 6358

For: HIGH PRESSURE DISCHARGE LAMP ARC TUBE AND METHOD OF  
PRODUCING THE SAMECommissioner for Patents  
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Alexandria, VA 22313-1450

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Alexandria, VA 22313-1450.  
Elizabeth A. VanAntwerpTRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97(b)

Sir:

The information disclosure statement transmitted herewith is being filed:

- ☐ within three months of the filing date of this national application.
- ☐ within three months of the date of entry of the national stage as set forth in  
§1.491 in an international application.
- ☐ before the mailing date of the first Office action on the merits.
- ☒ before the mailing of a first Office Action after the filing of a request for  
continued examination under §1.114.

Respectfully submitted,

April 15, 2004

Date

  
Kevin C. Brown  
Reg. No. 32,402

KCB/eav

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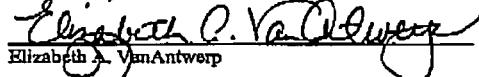
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Elizabeth A. VanAntwerp

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a Chinese Office Action (and an English-language translation thereof) bearing a mailing date of December 19, 2003 from a corresponding Chinese patent application, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

JP 08-17396 was previously submitted in the present application to the U.S. PTO in an Information Disclosure Statement filed June 6, 2001. U.S. Patent No. 5,625,256 and JP 08-17396 correspond to CN 1110003A.

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The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,

April 15, 2004

Date



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| Form PTO-1449<br>US DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(use as many sheets as necessary)</i> |      |    |   | <i>Complete if Known</i> |                |
|   |      |    |   | Application Number       | 09/857,540     |
|   |      |    |   | Filing Date              | July 23, 2001  |
|   |      |    |   | First Named Inventor     | Sugio MIYAZAWA |
|   |      |    |   | Art Unit                 | 2875           |
|   |      |    |   | Examiner Name            | Dalei Dong     |
| Confirmation No.  | 6358 |    |   |                          |                |
| Sheet   | 1    | of | 1 | Attorney Docket No.      | 939 026        |

## U.S. PATENT DOCUMENTS

| Exam. Initial |    | Document Number | Date       | Name          | Our Docket No. | Class | Sub Class | Filing Date |
|---------------|----|-----------------|------------|---------------|----------------|-------|-----------|-------------|
|               | AC | 5,625,256       | 04-29-1997 | Tiedt, et al. |                |       |           |             |
|               |    |                 |            |               |                |       |           |             |
|               |    |                 |            |               |                |       |           |             |
|               |    |                 |            |               |                |       |           |             |
|               |    |                 |            |               |                |       |           |             |
|               |    |                 |            |               |                |       |           |             |

## U.S. CO-PENDING APPLICATIONS

| Exam. Initial |  | Application/<br>Publication Number | Filing/<br>Publication Date | Inventor Name | Our Docket No. | Class | Sub Class |
|---------------|--|------------------------------------|-----------------------------|---------------|----------------|-------|-----------|
|               |  |                                    |                             |               |                |       |           |
|               |  |                                    |                             |               |                |       |           |
|               |  |                                    |                             |               |                |       |           |

## FOREIGN PATENT DOCUMENTS

|  |    | Document Number | Date       | Country | Class | Sub Class | Translation | Abstract |
|--|----|-----------------|------------|---------|-------|-----------|-------------|----------|
|  | AD | 1110003A        | 10-11-1995 | CN      |       |           |             |          |
|  |    |                 |            |         |       |           |             |          |
|  |    |                 |            |         |       |           |             |          |
|  |    |                 |            |         |       |           |             |          |
|  |    |                 |            |         |       |           |             |          |

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages etc.)

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|-----------|--|------------------|--|
| Examiner: |  | Date Considered: |  |
|-----------|--|------------------|--|

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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